### BEFORE THE WASHINGTON STATE EXECUTIVE ETHICS BOARD

In the Matter of:

Withheld

Respondent.

No. 2012-032

STIPULATED FACTS, CONCLUSIONS AND ORDER

## I. STIPULATION

THIS STIPULATION is entered into under WAC 292-100-090(1) between the Respondent, Withheld and Board Staff of the WASHINGTON STATE EXECUTIVE ETHICS BOARD (Board) through MELANIE DeLEON, Executive Director. The following stipulated facts, conclusions, and agreed order will be binding upon the parties if fully executed, and if accepted by the Board without modification(s), and will not be binding if rejected by the Board, or if the Respondent does not accept the Board's proposed modification(s), if any, to the stipulation.

## Section 1: PROCEDURAL FACTS

1.1. On May 24, 2012, the Executive Ethics Board (Board) received a complaint alleging that Withheld Speech/Communications Instructor, Clover Park Technical College may have violated the Ethics in Public Service Act by using state resources to promote an outside business.

1.2. The Board is authorized under RCW 34.05.060 to establish procedures for attempting and executing informal settlement of matters in lieu of more formal proceedings under the Administrative Procedures Act, including adjudicative hearings. The Board has established such procedures under WAC 292-100-090.

1.3. Withheld understands that if Board staff proves any or all of the alleged violations at a hearing, the Board may impose sanctions, including a civil penalty under RCW 42.52.480(1)(b) of up to \$5,000, or the greater of three times the economic value of anything received or sought in violation of chapter 42.52 RCW, for each violation found. The Board may also order the payment of costs, including reasonable investigative costs, under RCW 42.52.480(1)(c).

1.4. Withheld recognizes that the evidence available to the Board staff is such that the Board may conclude he violated the Ethics in Public Service Act. Therefore, in the interest of seeking an informal and expeditious resolution of this matter, the parties agree to entry of the stipulated findings of fact, conclusions of law and agreed order set forth below.

1.5. Withheld waives the opportunity for a hearing, contingent upon acceptance of this stipulation by the Board, or her acceptance of any modification(s) proposed by the Board, pursuant to the provisions of WAC 292-100-090(2) which provides in part:

The board has the option of accepting, rejecting, or modifying the proposed stipulation or asking for additional facts to be presented. If the board accepts the stipulation or modifies the stipulation with the agreement of the respondent, the board shall enter an order in conformity with the terms of the stipulation. If the board rejects the stipulation or the respondent does not agree to the board's proposed modifications to the stipulation, the normal process will continue. The proposed stipulation and information obtained during formal settlement discussions shall not be admitted into evidence at a subsequent public hearing.

1.6. If the Board accepts this stipulation, the Board will release and discharge Withheld from all further ethics proceedings under chapter 42.52 RCW for matters arising out of the facts contained in the complaint in this matter, subject to payment of the full amount of the civil penalty due and owing, any other costs imposed, and compliance with all other terms and conditions of the agreed order. Withheld in turn agrees to release and discharge the Board, its officers, agents and employees from all claims, damages, and causes of action arising out of this complaint and this stipulation and agreed order.

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1.7. If this Stipulation is accepted, this Stipulation and Order does not purport to settle any other claims between Withheld and the Washington State Executive Ethics Board, the State of Washington, or other third party, which may be filed in the future.

1.8. If this Stipulation is accepted, this Stipulation and Order is enforceable under RCW 34.05.578 and any other applicable statutes or rules.

1.9. If the Board rejects this stipulation, or if Withheld does not accept the Board's proposed modification(s), if any, this matter will be scheduled for an administrative hearing in front of the Board and Withheld waives any objection to participation by any Board member at any subsequent hearing to whom this stipulation was presented for approval under WAC 292-100-090(2). Further, Withheld understands and agrees that this proposed stipulation and information obtained during any formal settlement discussions held between the parties shall not be admitted into evidence at a subsequent public hearing, unless otherwise agreed by the parties.

### Section 2: FINDINGS OF FACT

2.1. Withheld was employed as a Speech/Communications Instructor, Clover Park Technical College, for all times pertinent to this investigation.

2.2. On November 18, 2011, Mr. Withheld started working for NWPR (WSU). Mr. Withheld scheduled time to broadcast on NWPR was on Friday, noon to 4 p.m. Mr. Withheld CPTC class schedule was rearranged to allow him to broadcast without interfering with his CPTC schedule.

2.3. On May 18, 2012, Mr Withheld used the state email system to promote NWPR and his time slot.

2.4. In emails composed my Mr. Withheld to all faculty and staff from May 24, through June 20, 2012, Mr. Withheld attached the NWPR station logo at the end of the e-mails.

2.5. Mr. Withheld told staff investigators that he would be paid by WSU (NWPR) for

 $5\frac{1}{2}$  hours per week, but he would put in many more hours of his own time in preparation.

### Section 3: CONCLUSIONS OF LAW

3.1. Pursuant to chapter 42.52 RCW, the Executive Ethics Board has jurisdiction over Withheld and over the subject matter of this complaint.

3.2. Pursuant to WAC 292-100-090(1), the parties have the authority to resolve this matter under the terms contained herein, subject to Board approval.

3.3. The Ethics in Public Service Act, Chapter 42.52 RCW, prohibits state employees from using state resources for their benefit. RCW 42.52.160(1) states:

No state officer or state employee may employ or use any person, money, or property under the officer's or employee's official control or direction, or in his or her official custody, for the private benefit or gain of the officer, employee, or another.

3.4. Based on Findings of Fact 2.1 through 2.5, Withheld used state resources in violation of RCW 42.52.160 and WAC 292-110-010.

3.5. The Board is authorized to impose sanctions for violations to the Ethics Act pursuant to RCW 42.52.360. The Board has set forth criteria in WAC 292-120-030 for imposing sanctions and consideration of any mitigating or aggravating factors.

# Section 4: AGGRAVATING AND MITIGATING FACTORS

In determining the appropriateness of the civil penalty, the Board reviewed the criteria in WAC 292-120-030.

#### Section 5: AGREED ORDER

5.1 Withheld will pay a civil penalty in the amount of, five hundred dollars (\$500.00). The Board agrees to suspend (\$250.00) on the condition that Withheld

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complies with all terms and conditions of this Stipulation and Order and commits no further violations of RCW 42.52 for a period of two years from the date this agreement is executed.

5.2 The civil penalty of \$250 is payable in full, to the State Executive Ethics Board within 45 days after this stipulation is accepted by the Board, or as otherwise agreed to by the parties.

#### II. <u>CERTIFICATION</u>

Withheld hereby certify that I have read this Stipulation and Agreed Order in its entirety; that my counsel of record, if any, has fully explained the legal significance and consequence of it; that I fully understand and agree to all of it; and that it may be presented to the Board without my appearance. I knowingly and voluntarily waive my right to a hearing in this matter; and if the Board accepts the Stipulation and Agreed Order, I understand that I will

receive a signed copy.

10/3/2012 Date

Respondent

Withheld

Stipulated to and presented by:

.10[4/12

Melanie deLeon Executive Director

Date

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# II. ORDER

Having reviewed the proposed Stipulation, WE, THE STATE OF WASHINGTON EXECUTIVE ETHICS BOARD, pursuant to WAC 292-100-090, HEREBY ORDER that the Stipulation is

ACCEPTED in its entirety;

**REJECTED** in its entirety;

MODIFIED. This Stipulation will become the Order of the Board if the Respondent approves\* the following modification(s):

DATED this 9<sup>th</sup> day of November 2012

Matthew Williams, III, Chair

Anna Dudek Ross, Member

Lisa Marsh, Vice-Chair

Michael Bahn , Member

antha Simmons, Member

\* I, \_\_\_\_\_, accept/do not accept (circle one) the proposed modification(s).

Withheld

Respondent

Date