

BEFORE THE WASHINGTON STATE  
EXECUTIVE ETHICS BOARD

In the Matter of:

████████████████████

Respondent.

No. 03-034

STIPULATED FACTS,  
CONCLUSIONS AND ORDER

**I. STIPULATION**

THIS STIPULATION is entered into under WAC 292-100-090(1) between the Respondent, ██████████ and Board Staff of the WASHINGTON STATE EXECUTIVE ETHICS BOARD (Board) through BRIAN R. MALARKY, Executive Director. The following stipulated facts, conclusions, and agreed order will be binding upon the parties if fully executed, and if accepted by the Board without modification(s), and will not be binding if rejected by the Board, or if the Respondent does not accept the Board's proposed modification(s), if any, to the stipulation.

**Section 1: PROCEDURAL FACTS**

1.1. On May 2, 2003, the Executive Ethics Board received a complaint alleging that ██████████ an employee of the State of Washington, Employment Security Department (ESD) used state time and equipment to create, forward and print non-work related materials. On May 5, 2003, the Board received a referral from the State Auditor's Office (SAO) containing the same allegations. The Executive Ethics Board issued a complaint in November 2003.

1.2. The Board is authorized under RCW 34.05.060 to establish procedures for attempting and executing informal settlement of matters in lieu of more formal proceedings under the Administrative Procedures Act, including adjudicative hearings. The Board has established such procedures under WAC 292-100-090.

1.3. ██████████ understands that if Board staff proves any or all of the alleged violations at a hearing, the Board may impose sanctions, including a civil penalty under

RCW 42.52.480(1)(b) of up to \$5,000, or the greater of three times the economic value of anything received or sought in violation of chapter 42.52 RCW, for each violation found. The Board may also order the payment of costs, including reasonable investigative costs, under RCW 42.52.480(1)(c).

1.4. [REDACTED] recognizes that the evidence available to the Board staff is such that the Board may conclude [REDACTED] violated the Ethics in Public Service Act. Therefore, in the interest of seeking an informal and expeditious resolution of this matter, the parties agree to entry of the stipulated findings of fact, conclusions of law and agreed order set forth below.

1.5. [REDACTED] waives the opportunity for a hearing, contingent upon acceptance of this stipulation by the Board, or her acceptance of any modification(s) proposed by the Board, pursuant to the provisions of WAC 292-100-090(2) which provides in part:

The board has the option of accepting, rejecting, or modifying the proposed stipulation or asking for additional facts to be presented. If the board accepts the stipulation or modifies the stipulation with the agreement of respondent, the board shall enter an order in conformity with the terms of the stipulation. If the board rejects the stipulation or respondent does not agree to the board's proposed modification to the stipulation, the normal process will continue. The proposed stipulation and information obtained during formal settlement discussion shall not be admitted into evidence at a subsequent public hearing.

1.6. If the Board accepts this stipulation, the Board will release and discharge [REDACTED] from all further ethics proceedings under chapter 42.52 RCW for matters arising out of the facts contained in the complaint in this matter, subject to payment of the full amount of the civil penalty due and owing, any other costs imposed, and compliance with all other terms and conditions of the agreed order. [REDACTED] in turn agrees to release and discharge the Board, its officers, agents and employees from all claims, damages, and causes of action arising out of this complaint and this stipulation and agreed order.

1.7. If this Stipulation is accepted, this Stipulation and Order does not purport to settle any other claims between [REDACTED] and the Washington State Executive Ethics Board, the State of Washington, or other third party, which may be filed in the future.

1.8. If this Stipulation is accepted, this Stipulation and Order is enforceable under RCW 34.05.578 and any other applicable statutes or rules.

1.9. If the Board rejects this stipulation, or if [REDACTED] does not accept the Board's proposed modification(s), if any, [REDACTED] waives any objection to participation at any subsequent hearing by any Board member to whom this stipulation was presented for approval under WAC 292-100-090(2). Further, [REDACTED] understands and agrees that this proposed stipulation and information obtained during any formal settlement discussions held between the parties shall not be admitted into evidence at a subsequent public hearing, unless otherwise agreed by the parties.

## **Section 2: FINDINGS OF FACT**

2.1. When the complaint in this matter was filed, and at all times material hereto, the State of Washington, ESD, employed [REDACTED] as a Worksource Specialist 3. [REDACTED] was hired by ESD on January 28, 1999.

2.2. A review of [REDACTED]'s computer usage from December 2000 to September 2002 revealed personal use of e-mail and computer resources. The computer contained 233 personal e-mails in her "Sent Items" folder consisting of jokes, poems, and chain letters. The hard drive contained 18 personal items, including poems, letters, an apartment checklist and a family member's resume. Four of the 18 personal documents on the hard drive related to private business activity unrelated to state employment.

2.3. [REDACTED] attended ethics training on September 9, 1999 and April 22, 2003.

2.4. On July 5, 2002, [REDACTED] signed an acknowledgement of ESD Policy 2009 regarding Use of Agency Telecommunications Technology Systems, Policy 2016 Use of Agency Information Technology Systems and Policy 1016 Employee Conduct.

2.5. ESD Policies 2009 and 2016 prohibit uses of technology and telecommunications systems for such activities as:

- Accessing the Internet for personal reasons

- Furthering inappropriate jokes, chain letters or gender slurs
- Advertising, selling or for any other commercial purpose
- Conducting an outside business or engaging in personal activities
- Making personal purchases
- Promoting a political, philosophical or religious belief
- Writing documents of a personal nature.

2.7. On October 5, 2001, [REDACTED] completed an Outside Employment or Business Activity Authorization Request and the agency approved her request.

2.8. On August 28, 2003, ESD suspended [REDACTED] from her position as a WorkSource Specialist effective September 4, 2003 to the end of her work shift on September 5, 2003 (two days) for her misuse of state resources. The suspension resulted in a loss of pay in the amount of \$299.18.

### **Section 3: CONCLUSIONS OF LAW**

3.1. Pursuant to chapter 42.52 RCW, the Executive Ethics Board has jurisdiction over [REDACTED] and over the subject matter of this complaint.

3.2. Pursuant to WAC 292-100-090(1), the parties have the authority to resolve this matter under the terms contained herein, subject to Board approval.

3.3. A state officer or employee is prohibited under RCW 42.52.160(1) from using state property “under the officer’s or employee’s official control or direction, or in his or her official custody, for the private benefit or gain of the officer, employee or another.”

3.4. The Ethics in Public Service Act allows for de minimis personal use of state resources. WAC 292-110-010(4) states that employees may make occasional but limited personal use of state resources such as electronic messaging systems and the Internet if the use conforms with ethical standards and the employee’s agency has adopted a policy authorizing Internet access consistent with the Board’s de minimis rule.

3.5. Based on Findings of Fact 2.1 to 2.7, [REDACTED] used state resources in violation of RCW 42.52.160, WAC 292-110-010 and agency policy. [REDACTED] use of her state computer to pursue personal interests violated agency policy and exceeded the de minimis standards.

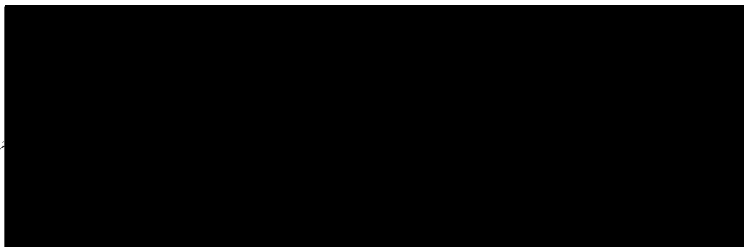
3.6. The Board is authorized to impose sanctions for violations to the Ethics Act pursuant to RCW 42.52.360. The Board has set forth criteria in WAC 292-120-030 for imposing sanctions and consideration of any mitigating or aggravating factors. It is a mitigating factor that [REDACTED] was suspended for her job for two days (WAC 292-120-030(4)(a)).

**Section 4: AGREED ORDER**

4.1. [REDACTED] will pay a civil penalty in the amount of seven hundred and fifty dollars (\$750.00). The Board agrees to suspend \$250.00 of the civil penalty on the condition that [REDACTED] complies with all terms and conditions of this Stipulation and Order and commits no further violations of chapter 42.52 RCW. The civil penalty due of \$500.00 is payable to the state Executive Ethics Board within forty-five (45) days of approval of this Stipulation and Order by the Board.

**CERTIFICATION**

I, [REDACTED] hereby certify that I have read this Stipulation and Agreed Order in its entirety. I knowingly and voluntarily waive my right to a hearing in this matter; and I fully understand and voluntary agree to this Stipulation.



*Brian R. Malarky*      *8-25-04*  
\_\_\_\_\_  
Brian R. Malarky      Date  
Executive Director

**II. ORDER**

Having reviewed the proposed Stipulation, WE, THE STATE OF WASHINGTON EXECUTIVE ETHICS BOARD, pursuant to WAC 292-100-090, HEREBY ORDER that the Stipulation is

  X  

ACCEPTED in its entirety;

\_\_\_\_\_

REJECTED in its entirety;

\_\_\_\_\_

MODIFIED. This Stipulation will become the Order of the Board if the

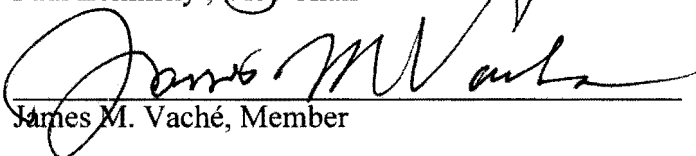
Respondent approves\* the following modification(s):

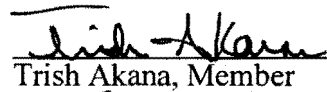
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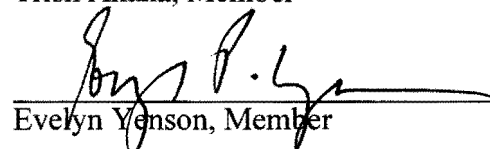
DATED this 10<sup>th</sup> day of September, 2004

  
\_\_\_\_\_  
Marilee Scarborough, Chair

  
\_\_\_\_\_  
Paul Zellinsky, Vice Chair

  
\_\_\_\_\_  
James M. Vaché, Member

  
\_\_\_\_\_  
Trish Akana, Member

  
\_\_\_\_\_  
Evelyn Yenson, Member

\* I, [REDACTED] accept/do not accept (circle one) the proposed modification(s).

[REDACTED] Respondent                      Date